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Practitioner's Docket No. U 013097-8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Steven James FRISKEN, et al.

Serial No.: 09/732,243

Group No.: 2872

Filed: December 7, 2000

Examiner: Curits, Craig

For: FIBRE COUPLED MIRROR PROVIDING A WAVELENGTH INDEPENDENT  
ROTATION OF THE POLARISATION STATE OF REFLECTED LIGHT

Assistant commissioner for Patents  
Washington, D.C. 20231

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AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is
- ☐ a small entity. A statement:
    - ☐ is attached.
    - ☐ was already filed.
  - ☒ other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

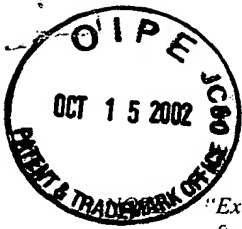
- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: October 8, 2002

Signature

Chfford J. Mass

(type or print name of person certifying)



## EXTENSION OF TERM

"Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. 1.645 for extensions of time in interference proceedings, and 37 C.F.R. 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

(a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input checked="" type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 400.00	\$ 200.00
<input type="checkbox"/>	three months	\$ 920.00	\$ 460.00
<input type="checkbox"/>	four months	\$ 1,440.00	\$ 720.00

Fee: \$ 110.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

(b) ☐ Applicant believes that no extension of term is required. However, this is a conditional petition being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.



### FEE FOR CLAIMS

4.

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	Addit. Fee
Total *	Minus **	=	x \$ 9=	\$	x \$ 18= \$
Indep. *	Minus ***	=	x \$ 42=	\$	x \$ 84= \$
<input type="checkbox"/> First Presentation of Multiple Dependent Claims			+ \$140=	\$	+ \$280= \$
Total Addit. Fee				\$ _____	OR Total Addit. Fee \$ _____

\* If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** "After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$ \_\_\_\_\_.

### FEE PAYMENT

5. ☒ Attached is a check in the sum of \$ 110.00.
- ☐ Charge Account No. 12-0425 the sum of \$ \_\_\_\_\_.
- A duplicate of this transmittal is attached.



## FEE DEFICIENCY

**NOTE:** *If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒ If any additional extension and/or fee is required, charge Account No. 12-0425

### AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 12-0425

Reg. No. 30086

Tel. No. (212) 708-1890

Customer No.

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

Clifford J. Mass  
\_\_\_\_\_  
(type or print name of practitioner)

\_\_\_\_\_  
P.O. Address

\_\_\_\_\_  
c/o Ladas & Parry  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

SUBMISSION OF PROPOSED DRAWING AMENDMENT  
FOR APPROVAL BY EXAMINER (37 C.F.R. 1.123)

Attached please find a copy of the original drawing(s)

(check applicable items)

☒ with red ink markings,

showing the proposed changes to the drawing(s) in this application, for which the approval of the Examiner is requested.

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

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37 C.F.R. 1.8(a)

37 C.F.R. 1.10\*

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☐ transmitted by facsimile to the Patent and Trademark Office.

Date: October 8, 2002

Signature

Clifford J. Mass

(Type or print name of person certifying)

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Reg. No. 30086

Tel. No.: (212) 708-1803

Customer No.:



SIGNATURE OF PRACTITIONER

Clifford J. Mass

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

*NOTE: 37 C.F.R. 1.123 indicates that "Where a change to the drawings is desired a sketch in permanent ink showing proposed changes, in red, to become part of the record, must be filed for approval by the examiner and should be in separate paper."*

*NOTE: While drawings submitted under §§ 1.81, 1.83-1.85, 1.152, 1.165, 1.774, and 1.437 may not be filed by facsimile, proposed drawing corrections for approval may be submitted by facsimile transmission. Notice of Oct. 15, 1993, 58 Fed. Reg. 54,494-54,504, at 54,495.*